



01/31/02

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01/31/02

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

020058

Total Pages

First Named Inventor or Application Identifier

Syuji SAITO, Takashi OKUDA, Maymi KUBOMURA and
Kristi M. MOORE

Express Mail Label No.

Check Box, if applicable [] Duplicate

APPLICATION ELEMENTS FOR:

**RECOMBINANT HERPESVIRUS OF TURKEYS AND USE
THEREOF**

ADDRESS TO: Commissioner for Patents
BOX PATENT APPLICATIONS
Washington, D.C. 20231

1. [XX] Fee Transmittal Form (Incorporated within this form) **(The filing fee is deferred).**

2. [XX] Specification Total Pages [31]

3. [XX] Drawing(s) (35 USC 113) Total Sheets [5]

4. [] Oath or Declaration Total Pages []
NOT attached; deferred under P.L. 97-247.

5. [] Newly executed (original)

6. [] Copy from prior application (37 CFR 1.63(d)
(for continuation/divisional with Box 17 completed).

i. [] Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

7. [] Incorporation by reference (useable if box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.

8. [] Microfiche Computer Program (Appendix)

9. [X] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. [X] Computer Readable Copy
- b. [X] Paper Copy (identical to computer copy)
- c. [] Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

10. [] Assignment Papers (cover sheet and document(s)) to: ZEON CORPORATION, Tokyo, Japan
Please publish the assignee data with the application.

11. [] 37 CFR 3.73(b) Statement (when there is an assignee) [] Power of Attorney

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10. ☐ English translation Document (if applicable)

11. ☐ Information Disclosure Statement ☐ Copies of IDS Citations

12. ☒ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☐ Claim for Convention Priority ☐ Certified copy of Priority Document(s)

a. Priority of _____ application no. _____ filed on _____ is claimed under 35 USC 119.

16. ☒ Other: SUBMISSION OF SEQUENCE LISTING

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. ____/____

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$740.00
Total Claims	12 - 20		x \$18.00	
Independent Claims	1 - 3		x \$84.00	
Multiple Dependent Claims			\$280.00	280.00
Basic Filing Fee				1020.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	40.00
TOTAL				\$1060.00

XX NO FEE ENCLOSED. THE FILING FEE IS DEFERRED.

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- ☐ A check in the amount of \$_____ is enclosed to cover the filing fee of \$_____ and the assignment recordation fee of \$_____.
- ☐ Please charge our Deposit Account No. **01-2340** in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.
- ☐ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name **Daniel A. Geselowitz, Ph.D.**

Reg. No. **42,573**

Signature

Date: **January 31, 2002**

DAG/yap

ARMSTRONG, WESTERMAN & HATTORI, LLP
Suite 1000, 1725 K Street, N.W.
Washington, D. C. 20006
Tel: (202) 659-2930
Fax: (202) 887-0357

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Syuji SAITO et al.**

Serial No.: **Not Yet Assigned**

Filed: **January 31, 2002**

For: **RECOMBINANT HERPESVIRUS OF TURKEYS AND USE THEREOF**

SUBMISSION OF SEQUENCE LISTING

Commissioner for Patents
Washington, D.C. 20231

January 31, 2002

Sir:

Submitted herewith is the Sequence Listing for the above-identified application both in a paper copy form and in a computer readable form.

The name of the file on the computer readable form is Syuji.text; sequence listing. The paper copy and the computer readable form are the same.

In the event there are any fees required, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP



Daniel A. Geselowitz, Ph.D.
Reg. No. 42,573

Atty. Docket No. 020058
Suite 1000
1725 K Street, N.W.
Washington, D.C. 20006
Tel: (202) 659-2930

DAG/yap

Enclosures: Paper Form Sequence Listing
Disk Form Sequence Listing